



CHARTERED ACCOUNTANTS COMPENSATION SCHEME REGULATIONS – SCHEDULE OF AMENDMENTS

(15 JULY 2021)

| REGULATION | AMENDMENT |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.02 | The authority and commencement provision has been updated. |
| 2.01(c) | <p>The 'scope' provision has been amended.</p> <p>The Scheme only applies now in respect of eligible claims brought in connection with investment business carried on by firms authorised under the Irish Investment Intermediaries Act 1995 on or before <u>31 July 2017</u>. Claims in connection with investment business carried on by such firms <u>on or after 1 August 2017</u> will be dealt with under the Irish state compensation scheme.</p> |
| 9.01, 10.02 and definition of 'Notification' | References to the 'Company Secretary' have been removed from these provisions. |
| 9.01 | The address for service of documents on the Scheme has been updated. |
| 14.01 | The reference to the Financial Services Authority has been replaced by the 'Financial Conduct Authority'. |
| 15.01 | The transitional provision has been updated. |