

## AGENDA



# ***ICAEW Regulatory Board***

**DATE & TIME:** Thursday, 25 January 2023, 10.00am

**LOCATION:** IDRC

**CHAIR:** Parjinder Basra

**SECRETARY:** Sophie Hooper

**ATTENDEES:** Angela Foyle  
Annette Lovell  
Richard Thorpe  
Caroline Turnbull-Hall  
Claire McManus  
Thomas Palm  
Asif Patel  
Anthony Pygram  
Ann Wright

Duncan Wiggetts – Chief Officer, PSD  
Matthew Downton – Director, PSD Finance, Projects and Operations  
Sophie Wales – Head of Regulatory Affairs and Policy  
Fay Williams – Legal Officer, Committees and Tribunals  
Elaine Griffiths - Director, Regulatory Practice and Policy  
Sarah-Jane Owen - PII and Regulatory Manager  
Michelle Giddings - Head of AML and Operations

**APOLOGIES:**

ITEM	DETAILS	STATUS	TIMING	PRESENTER
<b>Standing Items</b>			<b>90 minutes</b>	
1.	Welcome, apologies and declaration of interests	Information		All
2.	Minutes of previous meeting held on 29 November 2023 and Forward Look	Approval		All
3.	Chair's report	Information		Chair
4.	Chief Officer Update	Information		Duncan Wiggetts
<b>Comfort Break</b>			<b>15 minutes</b>	
			<b>90 minutes</b>	
5.	AML Refresher training	Information	11.30	Michelle Giddings
6.	PII Draft Regulations		12.15	Elaine Griffiths / Sarah-Jane Owen
7.	Update on Audit Regs consultation			Sophie Wales / Elaine Griffiths
<b>Lunch</b>			<b>30 minutes</b>	
			<b>60 minutes</b>	
8.	Regulatory Update	Information		Sophie Wales
9.	Risk Sub Com Update	Information		Anthony Pygram
10.	Task and Finish Group Update	Information		Annette Lovell
11.	Any Other Business	Information		Chair