

## AGENDA



# ***ICAEW Regulatory Board***

**DATE & TIME:** Wednesday, 29 November 2023, 10.00am

**LOCATION:** IDRC

**CHAIR:** Parjinder Basra

**SECRETARY:** Sophie Hooper

**ATTENDEES:** Angela Foyle  
Annette Lovell  
Richard Thorpe  
Caroline Turnbull-Hall  
Claire McManus  
Thomas Palm  
Asif Patel  
Anthony Pygram  
Jonathan Williams  
Ann Wright

Duncan Wiggetts – Chief Officer, PSD  
Matthew Downton – Director, PSD Finance, Projects and Operations  
Emily Healy-Howell – Director, Regulatory and Conduct  
Bob Pinder – Director, Quality Assurance  
Sophie Wales – Head of Regulatory Affairs and Policy  
Sarah-Jane Owen – PII and Regulatory Manager  
Fay Williams – Legal Officer, Committees and Tribunals  
Jessica Bradbury – Insolvency Service Policy Team  
Paul Bannister - Insolvency Service Policy Team  
Lucy Cook – Head of Communication and Marketing

**APOLOGIES:**

ITEM	DETAILS	STATUS	TIMING	PRESENTER
<b>Standing Items</b>			<b>60 minutes</b>	
1.	Welcome, apologies and declaration of interests	Information		All
2.	Minutes of previous meeting held on 20 September 2023 and Forward Look	Approval		All
3.	Chair's report	Information		Chair
4.	Chief Officer Update	Information		Duncan Wiggetts
<b>Comfort Break</b>			<b>15 minutes</b>	
			<b>90 minutes</b>	
5.	IS Policy Team presentation and questions			Jessica Bradbury / Paul Bannister
6.	Update on Audit Regs and PII consultation responses	Information		Sophie Wales / Sarah-Jane Owen
7.	Review of the Regulatory Guidance on sanctions			Emily Healy-Howell
8.	Amendments to DBLs			Emily Healy-Howell
9.	Task and Finish Group on remedial action following Reprimand			Annette Lovell
10.	Response to Item 9			Duncan Wiggetts / Emily Healy-Howell
<b>Lunch</b>			<b>30 minutes</b>	
			<b>90 minutes</b>	
11.	Regulatory Update	Information		Sophie Wales
12.	Risk Sub Committee Update	Information		Anthony Pygram
13.	Finance and systems update	Information		Matthew Downton
14.	Annual Report	Information		Matthew Downton
15.	PSD staff survey	Information		Matthew Downton
16.	Regulatory and Conduct Strategy	Information		Chair
17.	Any Other Business	Information		Chair
18.	Members only closed session			