AGENDA

ICAEW Regulatory Board

DATE & TIME: Wednesday, 20 September 2023, 10.00am

LOCATION: IDRC

CHAIR: Parjinder Basra

SECRETARY: Sophie Hooper

ATTENDEES:
Angela Foyle
Annette Lovell
Caroline Turnbull-Hall
Claire McManus
Thomas Palm
Asif Patel
Anthony Pygram
Jonathan Williams
Ann Wright

Duncan Wiggetts – Chief Officer, PSD
Matthew Downton – Director, PSD Finance, Projects and Operations
Emily Healy-Howell – Director, Regulatory and Conduct
Sarah-Jane Owen – PII and Regulatory Manager
Elaine Griffiths - Director, Regulatory Practice and Policy
Michelle Giddings – Head of AML and Operations
Sophie Wales – Head of Regulatory Affairs and Policy
Fay Williams – Legal Officer, Committees and Tribunals
Ian Bong – Internal Audit Manager
Farrokh Shahmir – Head of Internal Audit
James Roberts – Chair of PII Committee
Bob Pinder – Director, Quality Assurance
Catherine Boyd – Chair of ILC Committee
Peter Wrench – Vice Chair of ILC Committee

APOLOGIES: Richard Thorpe

ITEM	DETAILS	STATUS	TIMING	PRESENTER
	Standing Items		**90 minutes**	
1.	Welcome, apologies and declaration of interests	Information		All
2.	Minutes of previous meetings (May and July 2023) and Forward Look	Approval		All
3.	Chair's report	Information		Chair
4.	Chief Officer Update	Information		Duncan Wiggetts
5.	PII Paper and Update from PIC Chair	Approval	11.00	Elaine Griffiths / Sarah-Jane Owen / James Roberts
6.	Update from ILC Chair and Vice Chair	Information	11.30	Catherine Boyd / Peter Wrench
	Comfort Break		**15 minutes**	
			90 minutes	
7.	Internal Audit Assurance Report	Information	12.15	Ian Bong / Farrokh Shahmir
8.	AML Consultation Draft Response	Consideration	12.30	Michelle Giddings
9.	Decision Analysis Group Update		13.00	Kim Nyawira
10.	Audit Regulation Subgroup – Composition Group - Regulation amendments	Discussion		Chair
11.	Insolvency Service Reprimand Response: Task and Finish Group	Information		Annette Lovell
	Lunch		**30 minutes**	
			90 minutes	
12.	Regulatory Update	Information	14.30	Sophie Wales
13.	Risk Sub Committee Update	Information		Anthony Pygram
14.	Any Other Business			Chair

- 2024 Proposed meeting dates

Thursday 25th January

Thursday 28th March

Thursday 30th May

Thursday 25th July

Thursday 26th September

Thursday 28th November

| 15. | Members only closed session |