



Report of decisions

MEETING:	ICAEW Regulatory Board (IRB)	
DATE & TIME:	2 February 2021	
LOCATION:	Video conference	
CHAIR:	Michael Caplan	
ALTERNATE CHAIR¹	Steve Barrow	
SECRETARY:	Tracy Stanhope	
ATTENDEES:	Board members: Andrew Goldsworthy Ann Wright Anthony Pygram Asif Patel Jane Titley Jonathan Williams Michael Sufrin Parjinder Basra Philip Nicol-Gent Steve Barrow Thomas Palm	Staff: Bob Pinder Claire Phillips Duncan Wiggetts Elaine Griffiths Matthew Downton Peter James
APOLOGIES	None	
OBSERVERS	Jonathan Jones, Mark Protherough	

The chair welcomed the new members of the IRB, Parjinder Basra, Anthony Pygram and Jonathan Williams, to their first meeting of the board.

Minutes

The minutes of the previous meeting held on 8 December 2020 were approved by the IRB.

Professional Standards 2020 year-end review

Members of the Professional Standards' senior management team presented to IRB members to provide a review of the department's operation in 2020. The IRB members then discussed the information presented and asked questions.

¹ The Alternate Chair is the chair for legal services matters.

Changes to the Disciplinary framework

The IRB agreed the approach for changes to the Principal Bye Laws and Supplemental Charter which were necessary as part of the project to change the disciplinary framework. The IRB also agreed for there to be a consultation on the changes to the disciplinary framework targeted solely at probate firms.

Departmental risk management

The IRB agreed to the creation of a sub group of the IRB to consider the Professional Standards Department's approach to risk management and to the inclusion of risk as a standing item on the IRB agendas.

Chairing of Disciplinary Tribunals

The IRB approved a change in policy to move to the disciplinary tribunals being chaired by a lay chair, supported by a legal assessor.

Quality assurance of the Professional Standards' Committees

The IRB discussed then agreed an amendment to the list of committees it was planning to review during 2021 as part of its quality assurance role.

IRB annual report etc

The IRB agreed the focus of the forward look content of its annual report. The IRB also agreed that the IRB's "Project Light" sub group should consider the transparency of the IRB's activities.