

# PROBATE MONITORING 2022

## PROBATE ACCREDITED FIRMS

As at 31.12.22

Active - 353 (2021: 356)

Accreditations in the year - 31 (2021: 41)

Cessations in the year - 34 (2021: 24)

## CESSATIONS

Restructured - 9 (2021: 11)

No longer requires accreditation / no probate work - 14 (2021: 10)

Firm ceased - 7 (2021: 3)

Cost of accreditation - 3 (2021: 0)

License revoked - 1 (2021: 0)

## VISIT APPROACH

We aim to visit firms within the first 24 months of accreditation. However, if they have not done any probate work by that date, we extend the cut off to 30 months. Of the firms visited in 2022, 1 (2021: 7) was outside of this target.

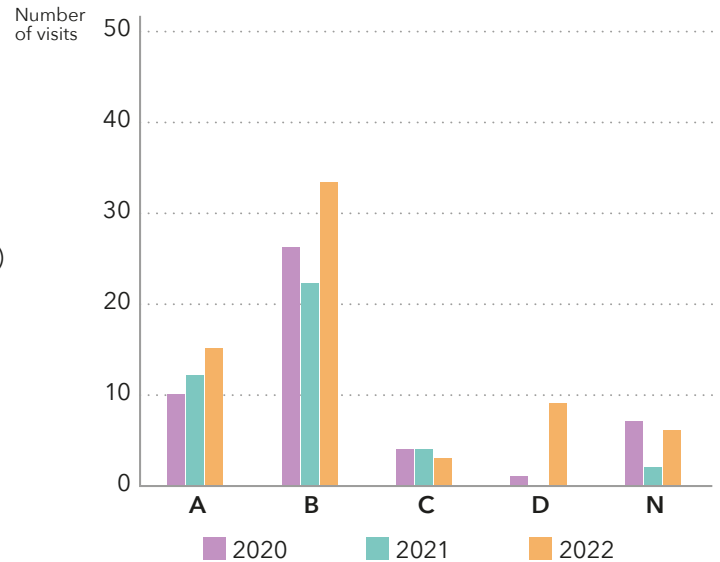
## VISIT NUMBERS

2022 66 (4.3% of Practice Assurance visits undertaken)

2021 40 (3.2%)

2020 48 (2.9%)

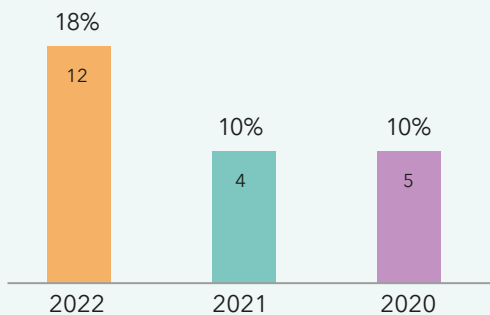
## VISIT OUTCOMES



## VISIT GRADES

- A** no instances of non-compliance
- B** some non-compliance but the firm's responses address the matters raised
- C** some follow-up action needed
- D** detailed report to Probate Committee
- N** firm doesn't have any probate work

## % OF VISITS REQUIRING FOLLOW-UP



## 2022 MATTERS REQUIRING FOLLOW-UP

