



Designated Criminal Convictions

FAST TRACK PROCESS

Regulation 13, Investigation and Disciplinary Regulations

Under this regulation the Conduct Department may, during an assessment or investigation, identify one or more '*serious convictions*' in relation to a member, firm (member firm, regulated firm, contracted firm or licensed practice), affiliate, provisional member, foundation qualification holders, provisional foundation qualification holders or CFAB students. Following an investigation such matters may be referred directly to the Tribunals Committee via a fast-track process.

A '*serious conviction*' means a criminal conviction which has been designated by the ICAEW Regulatory Board as suitable to be reported to the Tribunals Committee via the fast-track process.

Designated Criminal Convictions

On 30 March 2023, the ICAEW Regulatory Board determined that, effective from 1 June 2023, the following should be designated as '*serious convictions*':

- Any offence involving dishonesty.
- Any offence for which the maximum sentence is over five years imprisonment.
- Any offence contrary to the administration of justice.
- Any offence contrary to the Proceeds of Crime Act 2002.
- Any offence involving money laundering activity.
- Any offence listed under Schedule 3 of the Sexual Offences Act 2003 (namely those subject to notification requirements under section 80 of the Sexual Offences Act 2003).
- Any offence involving an abuse of position and/or trust.