

# Ownership and control

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To ensure we have all the information we need to process your application, please ensure you are completing the latest version of this form. The most up to date version is linked from [icaew.com/regulatoryapplications](https://icaew.com/regulatoryapplications), or please email [regulatorysupport@icaew.com](mailto:regulatorysupport@icaew.com) for a copy.

We aim to provide a decision on your application as soon as possible. This is usually within 8-12 weeks of receiving a fully completed application form and all supporting documentation.

## APPLYING FOR SUPERVISION UNDER ICAEW'S PRACTICE ASSURANCE SCHEME

### WHEN TO USE THIS FORM

Please use this form if you want to apply for supervision in accordance with the Money Laundering, Terrorist Financing and Transfer of Funds (information on the Payer) Regulations 2017 (amended) (MLR17) and:

- your firm already has a C00 number; and
- you have completed an annual return in the last 12 months.



## A FIRM DETAILS

A.1 Firm name

A.2 Firm number **C00**

## B CONTROL

Please provide details of the individuals, or entities, which hold the voting rights in the entity applying for supervision.

If the entity applying for supervision is part of a group, you should give the names of the companies holding the shares in the entity applying, rather than the name of the individual who has 'effective' control through a group structure. In this circumstance, please also provide full details of the group structure including the ultimate beneficial owners, on a separate page.

Name of LLP members, partners or shareholders	% voting rights	For shareholders, please give:	
		Number of shares	Nominal value

